



OSMM&N File No. 212232US2RD

Serial No. 09/938,611

In the matter of the Application of: Tomomi FUNAYAMA, et al.

For: A MAGNETIC RECORDING HEAD, A MAGNETIC RECORDING HEAD, A  
MAGNETIC RECORDING APPARATUS, AND A MAGNETIC  
REPRODUCING APPARATUS

Dept.: PP

By: MJS/hc

Due Date: 11-26-03

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
- Information Disclosure Statement
- Cited References (2)
- KOREAN Office Action
- PTO-1449

COPY



Docket No. 212232US2RD/hc

AUG 18 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tomomi FUNAYAMA, et al.

SERIAL NO: 09/938,611

GAU: 2652

FILED: August 27, 2001

EXAMINER: TIANJIE CHEN

FOR: A MAGNETIC RECORDING HEAD, A MAGNETIC RECORDING HEAD, A MAGNETIC RECORDING APPARATUS, AND A MAGNETIC REPRODUCING APPARATUS

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references cited in the attached Korean Office Action listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☒ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
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**COPY**

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AUG 18 2005

SHEET 1 OF 1

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

212232US2RD

SERIAL NO.

09/938,611

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Tomomi FUNAYAMA, et al.

FILING DATE

August 27, 2001

GROUP

2652

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,307,708	10/23/2001	H. YODA, et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	11-266044	09/28/1999	JAPAN (with English Abstract)		X
	AP	11-329836	11/30/1999	JAPAN (filed on March 20, 2003, submitting corr. US 6,307,708 only)		
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.